



ACP-EU Co-operation Programme in Science and Technology (ACP S&T II)

**Intra-ACP envelope of 10th EDF
BL 2010 21.06.02**



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BACKGROUND

- **ACP Science and Technology Programme, 2008-2012 (ACP S&T I)**
- **ACP Ministerial Forum on Research, Cape Town 2002**
- **World Summit on Sustainable Development (WSSD), Johannesburg 2002**
- **ACP-EU Council of Ministers Joint Declaration on Rio+20, 2012**
- **EU Sustainable Development Strategy, 2006**
- **A Strategic European Framework for International S&T Cooperation, 2006**

BACKGROUND

Fight against poverty in the ACP countries = application of knowledge gained from S&T :

- **to promote innovation**
- **to develop appropriate technologies within the context of local needs and resources**

Push onto the frontiers of scientific knowledge + put knowledge to solve social / economic problems :

- **to build the capacity into existing technologies**
- **to strengthen knowledge-generating capacities**

Participatory approaches

Endogenous processes and approaches

BACKGROUND

ACP S&T II will support initiatives that :

- **strengthen the recognition of the importance of S&T for development**
- **produce innovation**
- **promote a scientific culture**
- **identify S&T goals and priorities**
- **bolster national and regional capacities to formulate, manage, monitor, evaluate and disseminate S&T activities within and across ACP countries**

OBJECTIVES

Global Objectives

- Address the S&T divide between ACP and industrialized countries
- Strengthen STI in ACP countries to enable creation, update and use of scientific knowledge
- Enhance the use of STI for poverty reduction, growth and socio-economic development

Specific Objective

- Contribute to building and strengthening capacities in the areas of STI in ACP countries

OBJECTIVES

- STI goals and priorities are **identified, formulated and mainstreamed** in national / regional strategies of ACP countries

- National / regional capacities to devise, manage, monitor, evaluate and disseminate STI programmes and their results are **improved**

- Importance of STI for development is **acknowledged** by decision-makers, mechanisms of innovation **understood** by policymakers and a culture of science **promoted** among the general public

Results

PRIORITIES

- **Energy Access and Efficiency**
- **Agriculture and Food Security**

However: other theme ?

interdisciplinary approaches

STRUCTURE

Organisation :

Funds : European Community (EC)



**Implementation : African, Caribbean and Pacific
Group of States (ACP Group)**



1st Call for Proposals (Call 2008, EUR 33.0 M)

2nd Call for Proposals (Call 2012, EUR 20.8 M)

STRUCTURE

2nd Call for Proposals (Call 2012) :

- 2 Lots :**
- 1. European Development Fund (EDF-Intra ACP)**
 - 2. EC General Budget
(EU-South Africa contribution to the
Development Cooperation Instrument - DCI)**

Budget : EUR 20,800,000 **Lot 1 = 17.8 M; Lot 2 = 3 M**

Request : EUR 0.3 M – 1 M; exceptional cases up to 3 M

Grant : max. 85% of the estimated total eligible costs



ELIGIBILITY

- **Countries**
- **Partnerships**
- **Actions**
- **Costs**

ELIGIBILITY - Countries

- **79 ACP countries** **Lots 1 + 2**
- **27 EU Member States** **Lots 1 + 2**
- **3 EU candidate countries** **Lots 1 + 2**
- **3 EEA EFTA States** **Lots 1 + 2**
- **OECD/DAC Member Countries** **Lots 1 + 2**
- **UN's LDC** **Lot 1**
- **(Central) Asia / Latin America** **Lot 2**

ELIGIBILITY - Partnerships

- ✓ **≥ 3 organisations from at least 2 different ACP countries**
- ✓ **No. of ACP partners greater than no. of non-ACP partners**
- ✓ **Applicant and partners must be :**
 - **legal persons +**
 - **directly responsible for the preparation and management of the Action, not acting as an intermediary +**
 - **established in eligible countries (exception: international organisations)**

ELIGIBILITY – Actions

- **Duration : 1-3 years**
- **Location : in 1 or more ACP countries**
- **Themes :**
 - **Energy Access and Efficiency**
 - **Agriculture and Food Security**
 - **Other theme ; interdisciplinary approaches**
- **Cross-cutting themes :**
 - **Good governance and human rights**
 - **Gender equality**
 - **Environmental sustainability**
 - **Climate change**

ELIGIBILITY – Actions

- **Number of applications per applicant :**
 - **more than 1 per Lot if :**
 - **majority of partners are different for each application +**
 - **projects are clearly different in content**
- **Number of grants to be awarded per applicant :**
 - **more than 1 per Lot**
- **Applicant may also be partner in another application**
- **Partners may take part in more than one application**
- **Visibility :**
 - **applicant must comply with the objectives and priorities and guarantee the visibility of the EU-financing**

ELIGIBILITY – Examples of Actions

- **Formulation, revision, and/or evaluation of S&T policies :**
 - multi-stakeholder workshops; technical instruments; studies; working groups; ...
- **Strengthening the capacities of government, research institutions, the private sector and civil society in STI :**
 - multi-stakeholder activities; interlinking research players, civil society, business, policy; innovatory approaches; mechanisms for advising public bodies on S&T policies.
- **Increasing awareness of STI for development :**
 - awareness raising; professional associations; training of teachers and production of material; competitions and summer camps; public debates and consultations; capacity building for CSO.

ELIGIBILITY – Ineligible Actions

- **Conflict, either directly or indirectly, with the policies of the EU or which may be linked with a prejudicial image;**
- **Concern only or mainly individual sponsorships for participation in workshops, seminars, conferences, congresses;**
- **Concern only or mainly individual scholarships or bursaries for studies, training courses or qualification-linked courses;**
- **Consist of or contain to any significant extent pure Research and/or R&D activities.**

ELIGIBILITY – Costs

YES

- **Staff**
- **Travel and per diems**
- **ACP stakeholder meetings (EUR 10,000)**
- **Equipment**
- **Local office**
- **Sub-contracted services (publications, studies, ...), Conferences, Expenditure verification**
- **Contingencies (max. 5 %)**
- **Overheads (max. 7%)**

NO

- **Sub-granting**
- **Contributions in kind**
- **p. 12 of the Guidelines for Grant Applicants**

GRANT

- **Payment of a non-commercial nature**
- **Achievement of an objective forming part of a EU policy (or of an EU-ACP agreement)**
- **Implementation of an Action**
- **Signature and agreement of a Grant contract**

CALENDAR OF EVENTS

8 Oct. 2012

26 Oct. 2012

Nov.-Dec. 2012

7 Feb. 2013

Sep.-Oct. 2013

Dec. 2016

- **Publicity**
- **Information session – Brussels**
- **Regional information sessions**
- **Submission deadline**
- **Evaluation (Receipt and registration -
Opening and administrative check -
Assessment Concept Notes - Assessment
Full Application Forms - Eligibility check)**
- **Evaluation Committee’s report**
- **Approval evaluation report by ACP Secretariat**
- **Contract preparation**
- **Publicity**
- **Implementation of projects**
- **Monitoring**
- **Completion of projects**

DOCUMENTATION

- **Guidelines for Grant Applicants**
- **Grant Application Form and annexes**
- **Other documentation**

EuropeAid

http://ec.europa.eu/europeaid/work/funding/index_en.htm

(reference number 133437)

ACP Secretariat

<http://www.acp.int/>

ACP S&T II

<http://www.acp-st.eu/>

GRANT APPLICATION FORM

Open Call for Proposals =

**Part A –
Concept Note**



**Part B –
Full Application Form**

1. Summary
2. Relevance
3. Description

1. General information
2. Action
3. Applicant
4. Partners
5. Associates
6. Checklist
7. Declaration by the Applicant
8. Assessment Grid

Annexes: Budget + Logical Framework

Documents to be submitted

- ▶ **Applications must be submitted in :**
 - **1 original + 2 Copies + CD-ROM of complete application (Concept Note; Full Application Form; Annexes)**
 - **A4 size**
 - **typed format, either in English or French**

- ▶ **Applications must be delivered by :**
 - **Registered mail**
 - **Private Courier Service**
 - **Hand Delivery**

- ▶ **Applications must be submitted by : 7 February 2013**

Applications must be delivered in a sealed envelope :

**ACP-EU Co-operation Programme in Science and Technology
Call for Proposals: EuropeAid/133437/D/ACT/ACPTPS
Lot No. *Insert the LOT number of the proposed Action***

FROM: *Insert the full name and address of the APPLICANT*

“Not to be opened before the opening session”

**TO: ACP-EU Co-operation Programme in Science and Technology
Programme Management Unit
c/o GOPA-Cartermill
Rue de Trèves 45
1040 Brussels
BELGIUM**

EVALUATION

Step 1 – a. Opening session and administrative check

↙ **– b. Evaluation Concept Note**

Step 2 – Evaluation Full Application Form

↘ **Step 3 – Eligibility check**

Independent Evaluators

Each proposal evaluated by at least 2 different evaluators

EVALUATION – Step 1

Opening, Administrative checks, Concept Notes

- **Deadline respected**
- **Checklist points satisfied (Grant Application Form - B.6)**
- **Concept notes with a minimum score of 30 will pass to the next stage**

➤ **see: Evaluation Grid, p. 14-15, section 2.3 Guidelines**

EVALUATION – Step 2

Evaluation of Full Application Form

- **Checklist points satisfied (Grant Application Form - B.6)**
 - **Selection criteria (section 1 of evaluation grid)**
 - **Financial and Operational Capacity**
 - **< 12 points (out of a maximum of 20) = rejection**
 - **Award criteria (sections 2, 3, 4 and 5 of evaluation grid)**
 - **Compliance with ACP S&T II Objectives + Priorities**
 - **Relevance (score from the Concept Note evaluation)**
 - **Effectiveness and feasibility**
 - **Sustainability**
 - **Budget and cost effectiveness**
- **see: Evaluation Grid, p. 16-17, section 2.3 Guidelines**

EVALUATION – Step 3

Eligibility check

- Only performed for provisionally selected proposals
- List made according to score and financial envelope
- Declaration of the applicant cross-checked with :

Supporting documents :

- Statutes or Articles of Association
- Latest accounts (not for public bodies, internat. org.)
- Legal Entity sheet (Annex D of Guidelines)
- Financial identification Form (Annex E of Guidelines)
- Audit firm co-ordinates

- Verification of the eligibility of applicant - partners –
Action (Sections 2.1.1 – 2.1.3 of Guidelines)

CRITICAL ISSUES

Major reasons for the rejection of applications under previous Calls for Proposals :

- **Application was posted after the deadline**
- **Application failed to pass the administrative check**
- **Quality of the content was poor**
- **Described impact was not convincing**
- **Described implementation was not convincing**

CRITICAL ISSUES

Application failed to pass the administrative check :

- **Wrong format of the Application Form**
- **Essential documents were missing (budget, Logical Framework, Concept Note, Declaration by the Applicant, etc.)**
- **Partnership not eligible (no partners or not enough partners, number of non-ACP partners more or equal to number of ACP partners, ineligible applicant or partner)**
- **Requested EC contribution below the minimum**
- **Requested EC contribution exceeds the limit (amount and %)**
- **Project duration falls outside the allowed timespan**
- **Application not in EN or FR**

CRITICAL ISSUES

Quality of the content was poor :

- **Relevance of project is not convincing**
- **Proposal shows no clear relation to objectives of ACP S&T II**
- **Proposal deviates strongly from indicated themes /activities**
- **Goals are too ambitious and not plausibly substantiated by the activities**
- **Proposal parts are a duplication of past or ongoing projects**
- **Objectives - methodology - results insufficiently elaborated**
- **Text is not clearly structured, lacking internal logic**
- **Text is obviously written by 'cut and paste' of same text parts in different sections; poor final editing**
- **Poor Logical Framework**
- **Costs appear exaggerated in relation to activities and inputs**

CRITICAL ISSUES

Described impact was not convincing :

- **Target group(s) is/are not clearly identified or negligible in quantitative terms;**
- **Proposal does not elaborate on tangible outputs and results;**
- **Sustainability of the project is not sufficiently substantiated.**

Described implementation was not convincing :

- **Insufficient management experience of the project coordinator;**
- **Inadequate knowledge of Project Cycle Management (PCM).**

FURTHER INFORMATION

– *QUESTIONS* –

- Clarifications may be asked to :

Call2012@acp-st.eu

- Frequently Asked Questions will be published regularly :

www.acp-st.eu

http://ec.europa.eu/europeaid/work/funding/index_en.htm

(reference number 133437)

- Deadline for Questions = 21 days before 7 Feb. 2013
- Deadline for Answers = 11 days before 7 Feb. 2013

FURTHER INFORMATION

– RECOMMENDED FOR CONSULTATION –

- **Project cycle management guidelines**

http://ec.europa.eu/europeaid/multimedia/publications/publications/manuals-tools/t101_en.htm

- **Communication and Visibility Manual for EU external actions**

http://ec.europa.eu/europeaid/work/visibility/index_en.htm

- **Manual for preparing a grant application for the ACP-EU Co-operation Programme in Science and Technology II**

www.acp-st.eu



ACP-EU Co-operation Programme in Science and Technology

Contact and further information :

ACP Secretariat

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